



Corporate Governance Report

1. Name of Listed Entity : **DCM Financial Services Limited**
 Quarter ending : **31.12.2024**

Annexure-I

I. Composition of Board of Directors												
Title (Mr./Ms.)	Name of the Directors	PAN & DIN	Category (Chairperson/ Executive/ Non-Executive/ Independent / Nominee)	Initial Date of Appointment	Date of Re-Appointment	Date of Cessation	Tenure of Directorship in month*	Date of Birth	No. of Directorship in listed entities including this listed entity [with reference to Regulation 17A]	No of Independent Directorship in listed entities including this listed entity [with reference to proviso to regulation 17A(1) & reg. 17A(2)]	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity (Refer Regulation 26(1) of The LODR Regulations)	No. of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of LODR Regulations)

DCM FINANCIAL SERVICES LIMITED

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Mr.	Sanjay Sahni	PAN - ABP PS92 33B DIN-0836 4951	Non-Executive Independent Director	1st September, 2020	1st September, 2020	-	52 Months	20/11/1969	3	3	5	3
Mr.	Kaushal Kashyap	PAN - BPT PK30 45L DIN-0768 3753	Non-Executive Director	1st September, 2020	18 th September, 2020	-	52 months	23/12/1991	2	1	2	1
Ms.	Richa Kalra	PAN: APDP K3972 P DIN-07632 571	Non-Executive Independent Director	6 th October, 2016	6 th October, 2024	-	98.25 months	22/01/1979	2	2	2	0

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Mrs .	Nidhi Deveshwar	PAN- AWUP D4394 C DIN- 09505 480	Whole Time Director	14 th Febr uary, 2022	29 th November, 2023	-	34.17 Months	27/06/1975	1	0	2	0
Ms.	Ruchi Chordia	PAN: ASGP C3983 J DIN: 09725 509	Non- Executive Independent Director	12 th August, 2023	12 th August, 2023	-	16.19 Months	23/06/1991	3	3	2	1
Ms.	Honey Agarwal	PAN: APNP A2945 N DIN: 10401 335	Non- Executive Independent Director	30 th August, 2024	30 th August, 2024	-	4 Month	06/11/1985	2	2	1	1
	Whether Regular chairperson appointed		Yes									
	Whether Chairperson is related to managing director or CEO		NA									

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II. Composition of Committees							
Name of Committee	Whether Regular chairperson appointed	Name of Committee Members	Category (Chairperson/ Executive/ Non-Executive/ Independent/ Nominee)	Date of Appointment	Date of Cessation		
1. Audit Committee	YES	Mr. Sanjay Sahni	Chairperson-Non-Executive Independent Director	14-08-2021	-		
		Ms. Richa Kalra	Non-Executive Independent Director	16-10-2016	-		
		Mrs. Nidhi Deveshwar	Executive Director (Whole Time)	29-11-2022	-		
2. Nomination & Remuneration Committee	YES	Ms. Honey Agarwal	Chairperson-Non-Executive Independent Director	04-12-2024	-		
		Ms. Richa Kalra	Non-Executive Independent	06-10-2016			

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		Mr. Sanjay Sahni	Director Non-Executive Independent Director	14-08-2021	-	
		Mrs. Nidhi Deveshwar	Executive Director (Whole Time)	29-11-2022	04-12-2024	
3.Risk Management Committee	NA	NA	NA			
4. Stakeholders Relationship Committee	YES	Ms. Honey Agarwal	Chairperson-Non-Executive Independent Director	04-12-2024	-	
		Ms. Richa Kalra	Non-Executive Independent Director	06-10-2016	-	
		Mr. Kaushal Kashyap	Director	15-01-2022	04-12-2024	

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		Mrs. Nidhi Deveshwar	Executive Director (Whole Time)	29-11-2022	-	
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III. Meeting of Board of Directors					
Date(s) of Meeting in the relevant quarter	Whether requirement of Quorum met*	Number of Directors present*	Number of independent directors present*	Date(s) of Meeting in the previous quarter	Maximum gap between any two consecutive meeting (in number of days)
13.11.2024	Yes	5	3	08.08.2024	74
04.12.2024	Yes	4	3	21.08.2024	20
				30.08.2024	

IV. Meeting of Committees						
Name of Committee	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)*	Number of Directors present*	Number of independent directors present*	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days
Audit Committee	13.11.2024	Yes	3	2	08.08.2024	96

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Stakeholders' Relationship Committee	13.11.2024	Yes	3	1	08.08.2024	96
Nomination & Remuneration Committee	-	-	-	-	21.08.2024	-
	-	-	-	-	30.08.2024	8

V. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 :
 - a. Audit Committee
 - b. Nomination & remuneration committee
 - c. Stakeholders relationship committee
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here:

Name: Somali Trivedi

Designation: Company Secretary & Compliance Officer

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B. INVESTOR GRIEVANCE REDRESSAL REPORT

Investor Grievance Redressal Report	
No. of investor complaints pending at the beginning of Quarter	0
No. of investor complaints received during the Quarter	0
No. of investor complaints disposed off during the Quarter	0
No. of investor complaints those remaining unresolved at the end of the Quarter	0

C. DISCLOSURE OF ACQUISITION OF SHARES OR VOTING RIGHTS IN UNLISTED COMPANIES

The details of acquisition of shares or voting rights in unlisted companies during the quarter in terms of sub-para 1 of para A of Part A of Schedule III are given below:

Sr. No.	Name of the unlisted company in which shares or voting rights have been acquired	Date of acquisition	Aggregate holding (% shares or voting rights) as at the end of the p	% shares or voting rights acquired during the quarter	Aggregate holding (% shares or voting rights) as at the end of the quarter
	NIL				

D. DISCLOSURE OF IMPOSITION OF FINE OR PENALTY

The details of imposition of fine or penalty during the quarter in terms of sub-para 20 of para A of Part A of Schedule III are given below:

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Sr. No.	Name of the authority	Nature and details of the action(s) taken or order(s) passed	Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority	Details of the violation(s)/contravention(s) committed or alleged to be committed	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible
	NIL				

E. DISCLOSURE OF UPDATES TO ONGOING TAX LITIGATIONS OR DISPUTES

The updates on tax litigations or disputes in terms of sub-para 8 of para B of Part A of Schedule III read with corresponding provisions of Annexure 18 of the Master Circular are given below:

Sr. No.	Name of the opposing party	Date of initiation of the litigation / dispute	Status of the litigation / dispute as per last disclosure	Current status of the litigation / dispute
	NIL			

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Related Party Transaction	
Subject	Compliance Status (Yes/No/NA)refer note below
Whether prior approval of audit committee obtained	NA
Whether shareholder approval obtained for material RPT	NA
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA
Note 1. In the column “Compliance Status”, compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words “N.A.” may be indicated. 2. If status is “No” details of non-compliance may be given here.	

Details of Cyber Security incidence

Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been Cyber Security incident or breaches or loss of data or documents during the quarter	No
Date of Event	Not Applicable
Brief Details of Event	Not Applicable

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For DCM Financial Services Limited

**Somali Trivedi
(Company Secretary & Compliance Officer)**

**Date: 16.01.2025
Place: Delhi**

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